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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	(Unassigned)			
Filing Date	September 14 2000			
First Named Inventor	Eric W. Nielsen ET AL.			
Group Art Unit	(Unassigned)			
Examiner Name	(Unassigned)			
Attorney Docket Number	00-357			

05/23/2001

Date

Total Number of F	Pages in This Submission	Attorney Docket Number	er 00-357				
/ ENCLOSURES (check all that apply)							
X Fee Transmittal Form	V [3	Assignment Papers (for an Application)	After Allowance Communication to Group				
X Fee Attached		X Drawing(s)	Appeal Communication to Board of Appeals and Interferences				
Amendment / Reply		Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		Petition Petition to Convert to a	Proprietary Information				
Affidavits/decla	ration(s)	Provisional Application	Status Letter				
Extension of Time Req	quest	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):				
Express Abandonmen	e Statement	Terminal Disclaimer Request for Refund CD, Number of CD(s)	Declaration Acknowledgement postcard Request/Petition Under CFR 1.53(c)				
Certified Copy of Priori Document(s) Response to Missing I Incomplete Application Response to Mi under 37 CFR 1	Parts/	application Ser	est to convert provisional rial No. 60/232,392, filed a non-provisional				
	SIGNATURE	OF APPLICANT, ATTORNEY, OR	AGENT				
Firm or Individual name W. Bryan McPherson III, Registration No. 41,988							
Signature W. Dy a McDharm							
Date May 29, 2001							
CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an							

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of he individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

envelope address to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

W. Bryan McPherson III

Typed or printed name

Signature

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)	86
	00

Complete if Known					
Application Number	lication Number (Unassigned)				
Filing Date	(Herewith)				
First Named Inventor	Eric W. Nielsen ET AL.				
Examiner Name	(Unassigned)				
Group Art Unit	(Unassigned)				
Attorney Docket No.	100-357				

METHOD OF PAYMENT	FEE CALCULATION (continued)					
1. X The Commissioner is hereby authorized to charge indicated foes and credit any expressions to		DDIT	ION	AL FE	ES	
indicated fees and credit any overpayments to Deposit		Large		Sma		
Account Number 03-1129	Fee	Entit	y Fee	Entit Fee	•	Fee Paid
Deposit	Code	(\$)	Code		Fee Description	ree raiu
Account Name	105	130	205	65	Surcharge - late filing fee or oath	
Charge Any Additional Fee Required Under 37 CFR 116 and 117	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
Applicant claims small entity status	139	130	139	130	Non-English specification	
See 37 CFR 1 27	147 2	2,520	147	2,520	For filing a request for ex parte reexamination	
2. Payment Enclosed: Check Credit card Money Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113 1	,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month	
Large Entity Small Entity	116	390	216	195	Extension for reply within second month	
Fee Fee Fee Fee Description	117	890	217	445	Extension for reply within third month	
101 710 201 355 Hilling fine for	118 1	,390	218	695	Extension for reply within fourth month	
101 710 201 355 Utility litting fee 710	128 1	,890	228	945	Extension for reply within fifth month	
107 490 207 245 Plant filing fee	119	310	219	155	Notice of Appeal	
108 710 208 355 Reissue filing fee	120	310	220	155	Filing a brief in support of an appeal	
114 150 214 75 Provisional filing fee	121	270	221	135	Request for oral hearing	
	138 1	,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 710	140	110	240	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES	141 1	,240	241	620	Petition to revive - unintentional	
Fee from Ext <u>ra Claims below Fee Paid</u>	142 1	,240	242	620	Utility issue fee (or reissue)	
Total Claims 24 -20** = 4 X 18 = 72	143	440	243	220	Design issue fee	
Independent 4 - 3** = 1 X 80 = 80	144	600	244	300	Plant issue fee	
Multiple Dependent	122	130	122	130	Petitions to the Commissioner	
, pm , ss,	123	50	123	50	Processing fee under 37 CFR 1 17(q)]
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126	180	126	180	Submission of Information Disclosure Stmt	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)	
102 80 202 40 Independent claims in excess of 3	146	710	246	355	Filing a submission after final rejection	} '
104 270 204 135 Multiple dependent claim, if not paid					(37 ČFR § 1 129(a))	
109 80 209 40 ** Reissue independent claims over original patent	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
110 18 210 9 ** Reissue claims in excess of 20	179	710	279	355	Request for Continued Examination (RCE)	
and over original patent	169	900	169	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 152		iee (sp	oecify))		
• • • • • • • • • • • • • • • • • • • •	*Reduc	ed by	Rasio	Filme	Fee Paid SUBTOTAL (3) (\$)	0
**or number previously paid, if greater; For Reissues, see above	1.0000	Ju Dy	الانداد	g	ODIVIAL (3) (4)	

SUBMITTED BY				Complete (#	fapplicable)
Name (Print/Type)	W. Bryan McPherson III	Registration No. (Attorney/Agent)	41,988	Telephone	(309) 675-4015
Signature	W. By M Chara +H			Date	05/23/2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of)
NIELS	EN et al.)
Appli	cation No. 60/232,392)
Filed	: September 14, 2000)
For:	GRAPHICAL USER INTERFACE METHOD AND APPARATUS FOR INTERACTION WITH FINITE ELEMENT ANALYSIS APPLICATION	((((SNG
Attor	ney Docket No. 00-357L)

Peoria, Illinois 61629-6490 May 23, 2001

Assistant Commissioner for Patents Washington, D.C. 20231

REQUEST FOR CONVERSION OF PROVISIONAL TO NON-PROVISIONAL APPLICATION (37 C.F.R. § 1.53(c)(3))

Sir:

Please convert the above identified provisional application filed to a non-provisional application under 37 C.F.R. § 1.53(c)(3).

1. A Declaration executed by the inventor(s) is enclosed.

Respectfully submitted,

W. Bryan McPherso Reg. No.: 41.998 Caterpillar Inc.

Telephone: (309) 675-4015 Facsimile: (309) 675-1236